

January 2017

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Tricor Roots Consulting Sdn Bhd

The Business Enablers

Member of Tricor and The Bank of East Asia Group



InTOUCH

Tricor Roots InTouch Newsletter

Welcome to the January 2017 edition of Tricor Roots InTouch. This Newsletter is aimed to keeping our Clients, Partners & Associates updated on what is happening in the world of Performance, Governance, Risk & Compliance (GRC).

Tricor Roots is delighted to that Q-RADAR, our Flagship GRC RegTech System has recently been recognised as one of **ASEAN's leading Risk Technology innovations** in the recent ASEAN Risk Awards 2016 held in Bali, Indonesia. This is a prestigious certification, and is further testament to the human capital, intellectual property and financial investments that Tricor Roots continues to inject to bring the World Class thinking in Governance, Risk & Compliance to Asia. Read below to find out more.

2017 promises to be a volatile year as political changes in the UK and USA continue to have widespread implications throughout the world. Read below on the top 10 risks that CFOs expect to face over the near year and ensure your own Organisation is well prepared. We look forward to another exciting year full of innovation and excitement in the area of GRC.

We hope you will enjoy reading this Newsletter and as always please do not hesitate to contact us should you have any queries. We would love to hear from you.

Your Trusted Consultant,

Tricor Roots Consulting Executive Team

1. Tricor Roots Q-RADAR recognized as one of ASEAN's leading Risk Technology Innovations in ASEAN RISK AWARDS 2016



Tricor Roots is delighted to that Q-RADAR, our Flagship GRC RegTech System has recently been recognised as one of **ASEAN's leading Risk Technology innovations** in the recent ASEAN Risk Awards 2016 held in Bali, Indonesia. In the Risk Technology category, Tricor Roots was awarded the runner-up award, which is given to Consulting Firms which utilises or invents new technology to deliver seamless risk management practices in Organisations.

This is a prestigious certification, and is further testament to the human capital, intellectual property and financial investments that Tricor Roots continues to inject to bring the World Class thinking in Governance, Risk & Compliance to Asia.

We look forward to continue to bring innovative and market-relevant RegTech solutions in the area of Governance, Risk & Compliance to Asian Organisations over the coming years. We wish to give thanks to our valued partners, clients and employees for their support.

2. Ranhill Group Implements We-CARE Independent and Anonymous Whistleblowing Channel

As part of its Integrity Pledge and stated goal to build and maintain an ethical and transparent Organisation, the RANHILL GROUP of companies has officially launched the WE-CARE WHISTLEBLOWING CHANNEL from Tricor Roots. The WE-CARE Program is a series of independent and anonymous Whistleblowing channels that allows misconduct and unethical activities to be reported directly Tricor Roots as an independent party and ensures an effective process to take place. With options to report online, via email, hotline or letters, the program allows convenience and safeguards to protect the whistleblowers.

Using the WE-CARE Program, key stakeholders to the Group, including employees, suppliers, customers and others will be able to report any misconduct in an anonymous and secure manner, without fear of reprisals. The independent whistleblowing

Tricor Roots is proud to support the RANHILL GROUP in this important Corporate Initiative.



“Tricor Roots CEO explains the WE-CARE Program and officiates the commencement of the program at RANHILL GROUP”

3. Critical Emerging Strategic Performance & Risk Issues

To better support our clients, we have outlined below recent global strategic performance and risk issues. The information contained is based on sources we believe to be reliable, but we do not guarantee its accuracy. Tricor Roots Consulting does not have any ownership over this information, nor does it represent our opinion.

Headline	Outline
<p>Top 10 CFO Risks for 2017</p> <p><i>Strategic Risk</i></p> <p><i>December 2016</i></p>	<p>There was plenty of uncertainty in 2016, from the Brexit vote to the Presidential election, but what are CFOs most worried about ahead of 2017 ?</p> <p>The potential for global developments to unsettle economic conditions and restrict growth opportunities both here and overseas is the top risk cited by executives in a survey from the consulting firm Protiviti and North Carolina State University's Poole College of Management. Seventy-two percent of respondents rated “uncertain economic conditions” as potentially having a significant impact on their companies over the next 12 months.</p> <p>Regulatory change and heightened regulatory scrutiny was the second most pressing risk, down from the top spot in each of the prior four years. Sixty-six percent of respondents cited regulatory change as having the potential for serious</p>

impact in 2017.

“Our survey results support a shift we’ve seen in the focus of board members and C-suite executives towards risks associated with international and domestic economic conditions,” said Mark Beasley, Deloitte professor of enterprise risk management at Poole College.

Managing cyberthreats, disruptive innovations, and privacy and identity protections rounded out the top five concerns.

Executives' Top 10 Risk Issues for 2017

Executives rated these risk issues on a 10-point scale with 10 representing the risk issue will have a significant impact on the organization over the next 12 months.

Risk Issue	2017	2016
Economic Conditions	6.61	5.83
Regulatory changes	6.51	6.06
Cyberthreats	5.91	5.80
Rapid speed of disruptive innovations	5.88	5.48
Privacy/identity management	5.87	5.55
Succession challenges/attracting top talent	5.76	5.63
Volatility in global financial markets	5.67	5.63
Organization's culture doesn't identify risk	5.66	5.30
Resistance to change	5.63	5.40
Sustaining customer loyalty	5.62	5.28

The survey also found that perceived overall risk levels seem to be increasing. Global business was rated as being riskier than in the prior two years. Respondents in the U.S. said risk levels were about the same, while respondents in other parts of the world signaled much greater concern. The risk scores for all of the top 10 risk issues were higher than last year.

To read more, click here:

<http://ww2.cfo.com/risk-management/2016/12/top-10-cfo-risks-2017/>

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